



FINANCIAL PLANNERS ADDENDUM

INSURED: _____

1. Please categorise the activities or business outlined in Question 9 of the proposal form and indicate the approximate percentage of your fee income derived from those activities.

Activity	Premium Income	Commission/Fees Earned	Percentage
Life (Risk) Insurance Broker			
Domestic	\$	\$	%
Commercial/Corporate	\$	\$	%
Financial Planning			
Domestic	\$	\$	%
Commercial/Corporate	\$	\$	%
Securities Dealing			
Domestic	\$	\$	%
Commercial/Corporate	\$	\$	%
TOTALS	\$	\$	100%

2. Do you cover for activities a Mortgage or Finance Broker? If Yes, a separate addendum will be required. Yes No

2. Are verbal reports always confirmed in writing? Yes No

If No, how do you substantiate such verbal reports?

3. Do you provide written reports to clients? Yes No

If Yes, please provide sample copies of typical reports together with details of any disclaimers and/or warranties used in connection with such reports.

4. Does any client or associated group of clients represent more than 20% of your annual work or fees? Yes No

If Yes, please supply details.

6. Do you issue any brochures or other promotional material (including capability statements) describing your activities or services (other than those from insurance companies)? Yes No

If Yes, please enclose copies.

Signed: _____

Dated: _____